

# **State of Nebraska**

# Automated Clearing House (ACH) Origination Services Technical Proposal Second BAFO

RFP 6304 Z1

October 8, 2020

#### Prepared by:

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## **Cover Letter**

October 8, 2020

Julie Schiltz / Annette Walton State Purchasing Bureau 1526 K Street. Suite 130 Lincoln, NE 68508

RE: RFP 6304 Z1 to provide Automated Clearing House (ACH) Origination Services Second BAFO

Dear Ms. Schlitz and Ms. Walton:

On behalf of JPMorgan Chase Bank, N.A. (J.P. Morgan), we are pleased to submit our response to the Request for Proposal #6304 Z1 for Automated Clearing House (ACH) Origination Services for the State of Nebraska second best and final offer. We look forward to the opportunity provide the State innovative solutions, industry leading technology and a relationship team that will help you achieve your goals.

Should you have any questions about the information contained within this response, please do not hesitate to contact me.

Sincerely,

Danne Meulendyle

Joanne Meulendyke Relationship Manager (312) 732-6988 joanne.meulendyke@jpmorgan.com



Customer needs are what gets our attention. We believe that in a hypercompetitive world (from competitors known and unknown), the best strategy – both offensive and defensive – is to give the customer more: something better, faster or more efficiently. We are always on a quest to improve our products and services, and, for the most part, this is done with enhancements in technology and through the continual training of our people. Most fundamental of all is doing the right thing for our customers – in all cases.

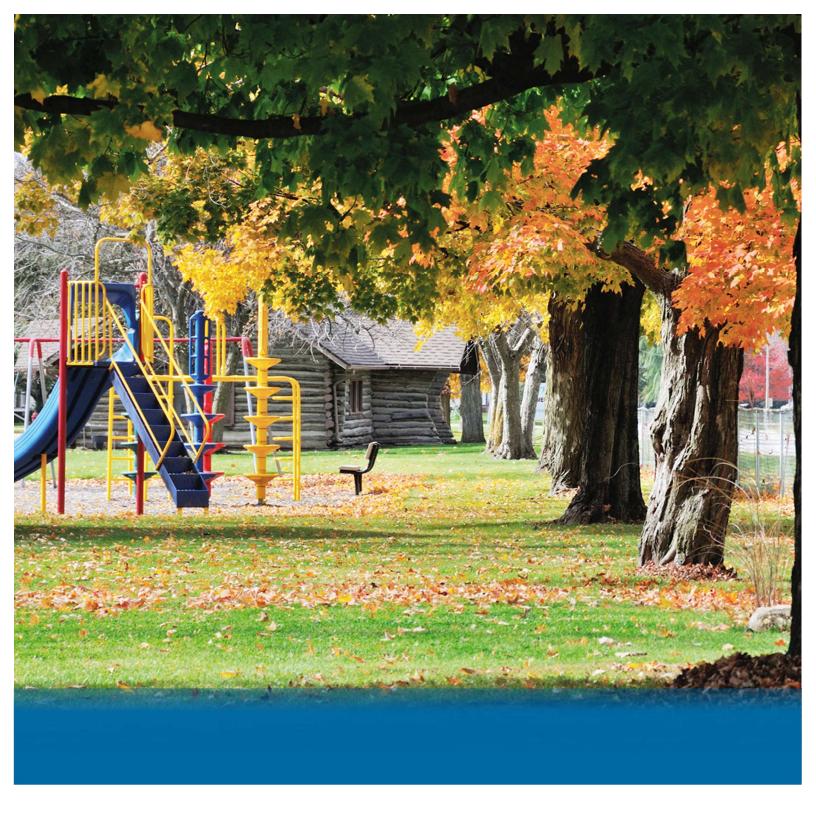


Jamie Dimon Chairman and CEO JPMorgan Chase & Co. 2019 Annual Letter to Shareholders

## **BAFO Cost Proposal**

Please see BAFO Cost Proposal separately in Word version.

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BAFO Cost Proposal Revision 1 must be filled in completely. Bidder must use the UOM as indicated in the BAFO Cost Proposal. Bidder may provide cost for optional services in the last table.

A yearly volume has been provided for evaluation purposes. These figures are not a guarantee of future yearly volumes.

BIDDER NAME: \_\_\_\_ JPMorgan Chase Bank, N.A.\_\_\_\_\_

		Α	CH Origina	tion Servio	es				
					CONTRAC	T TERM		Renewal 1	Renewal 2
TM Services	Estimated Quantity	UOM	Year 1 Unit Cost	Year 2 Unit Cost	Year 3 Unit Cost	Year 4 Unit Cost	Year 5 Unit Cost	Year 6 Unit Cost	Year 7 Unit Cost
Depository Services									
Account maintenance -	12	Each	0.0000	5.0000	5.0000	5.0000	5.0000	5.0000	5.0000
Electronic credits	2,879	Each	0.0000	0.0100	0.0100	0.0100	0.0100	0.0100	0.0100
Electronic debits	2,258	Each	0.0000	0.0100	0.0100	0.0100	0.0100	0.0100	0.0100
Wire Services									
Incoming Fedwire	241	Each	0.0000	4.0000	4.0000	4.0000	4.0000	4.0000	4.0000
Outgoing Fedwire	10	Each	0.0000	4.0000	4.0000	4.0000	4.0000	4.0000	4.0000
Wire Monthly Maintenance	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Online Services									
Current Day Detail – Account	12	Each	0.0000	15.0000	15.0000	15.0000	15.0000	15.0000	15.0000
Current Day Per item detail	11,729	Each	0.0000	0.0375	0.0375	0.0375	0.0375	0.0375	0.0375
Current Day Per item summary*	11,729	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Previous Day Detail – Account*	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Previous Day per item detail*	985	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Previous Day Per item summary*	985	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Return and NOC Report*	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Settlement Report*	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
EDI Remittance Report	12	Each	0.0000	50.0000	50.0000	50.0000	50.0000	50.0000	50.0000

Account Analysis Report PDF	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
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				INITIAL	CONTRAC	CT TERM		Renewal 1	Renewal 2
TM Services	Estimated Quantity	UOM	Year 1 Unit Cost	Year 2 Unit Cost	Year 3 Unit Cost	Year 4 Unit Cost	Year 5 Unit Cost	Year 6 Unit Cost	Year 7 Unit Cos
ACH Monthly Maintenance	48	Each	0.0000	10.0000	10.0000	10.0000	10.0000	10.0000	10.0000
ACH Originated Addenda Item	3,051,044	Each	0.0000	0.0050	0.0050	0.0050	0.0050	0.0050	0.0050
ACH Process Run (batches submitted)	2,440	Each	0.0000	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000
ACH Originated Transit Item	3,545,942	Each	0.0000	0.0070	0.0070	0.0070	0.0070	0.0070	0.0070
ACH Originated On-Us Item	420,639	Each	0.0000	0.0070	0.0070	0.0070	0.0070	0.0070	0.0070
ACH File Confirmation Email	2,324	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Received Item	5,037	Each	0.0000	0.0150	0.0150	0.0150	0.0150	0.0150	0.0150
ACH Outgoing Transmission	747	Each	0.0000	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000
ACH Monthly Maintenance – 3 <sup>rd</sup> party	36	Each	0.0000	10.0000	10.0000	10.0000	10.0000	10.0000	10.0000
Unauth ACH Return	351	Each	0.0000	4.5000	4.5000	4.5000	4.5000	4.5000	4.5000
ACH Return	12,706	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500
ACH Notification of Change	9,557	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500
NOC Converted Item	4,086	Each	0.0000	-	-	-	-	-	-
NOC Monthly Maint	972	Each	0.0000	-	-	-	-	-	-
Business Echeck Block Month Maintenance	12	Each	0.0000	5.0000	5.0000	5.0000	5.0000	5.0000	5.0000
ACH Return/NOC Transmit Items	17,536	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500
ACH Item Adjustment Request	180	Each	0.0000	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000
ACH Batch Adjustment	1	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Letter of Indemnity	12	Each	0.0000	35.0000	35.0000	35.0000	35.0000	35.0000	35.0000
JPIC monthly maintenance	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Revenue zba Accounts									
Depository Services	1								
Account Maintenance	108	Each	0.0000	5.0000	5.0000	5.0000	5.0000	5.0000	5.0000

Electronic Debits 2,22	Each 0.00	0.0000 0.0100	0.0100	0.0100	0 0100	() () 1 ()()	0.0100
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				INITIAL	CONTRAC	TTERM		Renewal 1	Renewal 2
TM Services	Estimated Quantity	UOM	Year 1 Unit Cost	Year 2 Unit Cost	Year 3 Unit Cost	Year 4 Unit Cost	Year 5 Unit Cost	Year 6 Unit Cost	Year 7 Unit Cost
Check Filter – Monthly Maintenance	108	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ZBA Subsidiary Account	96	Each	0.0000	5.0000	5.0000	5.0000	5.0000	5.0000	5.0000
Online Solution									
Current Day	107	Each	0.0000	15.0000	15.0000	15.0000	15.0000	15.0000	15.0000
Current Day	992,373	Each	0.0000	0.0375	0.0375	0.0375	0.0375	0.0375	0.0375
Previous Day*	107	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Previous Day Per*	995,684	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Return and NOC Report	96	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Settlement Report	35	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
EDI Remittance Report	96	Each	0.0000	50.00000	50.0000	50.0000	50.0000	50.0000	50.0000
ACH Services									
ACH Received Item	966,014	Each	0.0000	0.0150	0.0150	0.0150	0.0150	0.0150	0.0150
UPIC Monthly Maintenance	96	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Received Addenda Item	79	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Special Services									
Monthly Maintenance for online system	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Monthly Maintenance for Web	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Web Transactions – Non- Recur	189,162	Each	0.0000	0.2200 Ranged pricing – see below	0.2200 Ranged pricing – see below	0.2200 Ranged pricing – see below	0.2200 Ranged pricing – see below	0.2200 Ranged pricing – see below	0.2200 Ranged pricing – see below
IVR Initiated Payments	4,927	Each	0.0000	0.2200	0.2200	0.2200	0.2200	0.2200	0.2200

				Ranged				0	Ranged
				pricing –	pricing – see	pricing –	pricing –	pricing – see	pricing – see
				see below	below	see below	see below	below	below
IVR Minutes	18,193	Each	0.0000	0.1300	0.1300	0.1300	0.1300	0.1300	0.1300
IVR Test Monthly Maintenance	12	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Custom Report Monthly Fee	36	Each	0.0000	-	-	-	-	-	-
ACH Return Unauthorized	86	Each	0.0000	4.5000	4.5000	4.5000	4.5000	4.5000	4.5000
ACH File Confirmation Email	5,880	Each	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ACH Fed Item	180,842	Each	0.0000	0.0070	0.0070	0.0070	0.0070	0.0070	0.0070

				INITIAL	Renewal 1	Renewal 2			
TM Services	Estimated Quantity	UOM	Year 1 Unit Cost	Year 2 Unit Cost	Year 3 Unit Cost	Year 4 Unit Cost	Year 5 Unit Cost	Year 6 Unit Cost	Year 7 Unit Cost
ACH On-Us Item	8,977	Each	0.0000	0.0070	0.0070	0.0070	0.0070	0.0070	0.0070
ACH Return Item	694	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500
ACH NOC Item	323	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500
ACH Return/NOC Transmit Items	932	Each	0.0000	0.7500	0.7500	0.7500	0.7500	0.7500	0.7500

Comments	
Web/IVR ranged pricing grid:	
1-10,000 items	0.2500
10,001 – 25,000 items	0.2200
25,001 – 50,000 items	0.1800
50,001 – 75,000 items	0.1500
75,001+ items	0.1000

#### **OPTIONAL SERVICES**

		Α	CH Originati	on Servic	es				
			INITIAL		T TERM		Renewal 1	Renewal 2	
Services	Estimated Volume	UOM	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Check Filter Monthly Maintenance	12	\$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Book Transfer Monthly Maintenance	12	\$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Wire Monthly Maintenance	12	\$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Account Add/Modify/Delete	1	\$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UPIC Set-up Fee	1	\$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Custom Report Monthly Fee	12	\$	0.0000	-	-	-	-	-	-
Uncollected Fees	1	\$	Prime+3%	Prime+3%	Prime+3%	Prime+3%	Prime+3%	Prime+3%	Prime+3%

**PROPOSED PERSONNEL/MANAGEMENT** must be listed below and fixed all-inclusive hourly rates assigned. These rates shall remain fixed for the life of the contract, including any optional renewal periods.

Job Title	All Inclusive Hourly Rate
Example: Project Manager	\$ per hour
Customized Reporting Development (may not be required)	\$ 100 per hour
	\$ per hour
	\$ per hour
	\$ per hour

#### ADDITIONAL OPTIONAL SERVICES:

Bidder may provide cost for services in addition to the RFP requirements.

	ACH Origination Services												
				INITIAL CONTRACT TERM					Renewal 2				
Services	Estimated Volume	UOM	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7				
Special Services - Bill / Statement Image Stored		Each	0.0500	0.0500	0.0500	0.0500	0.0500	0.0500	0.0500				
Early Warning Services		Each	0.3000	0.3000	0.3000	0.3000	0.3000	0.3000	0.3000				

ACH Return Item Redeposit		Each	0.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000
Same Day ACH - File Transmission		Each	0.0000	0.2500	0.2500	0.2500	0.2500	0.2500	0.2500
Same Day ACH - Access Online		Each	0.0000	0.5000	0.5000	0.5000	0.5000	0.5000	0.5000
Customized Reporting Development (may not be required)	TBD	Hourly	100.00	-	-	-	-	-	-

\* Fees for this service are included in Current Day Detail – Account and/or Current Day Per Item Detail fee